



Docket No.: 03-2373

2655  
PATENT

**UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Karl Lu

: By the Examiner:

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:  
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Serial No.: 10/771,588

Filed: February 4, 2004

: Group Art Unit:

Title: A SAFE METHOD FOR UPGRADING FIRMWARE OF OPTICAL DISK PRODUCT

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

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**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8**

I hereby certify that this correspondence is being deposited with the United States Postal Service on March 25, 2004, in a First Class envelope, with sufficient postage thereon, addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

  
ReNea D. Berggren

DATED: March 25, 2004

Dear Sir:

**INFORMATION DISCLOSURE STATEMENT**

Applicant submits herewith patents, publications or other information of which he is aware, which he believes may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 CFR 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 CFR 1.97(g) the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 CFR 1.98(a)(1).

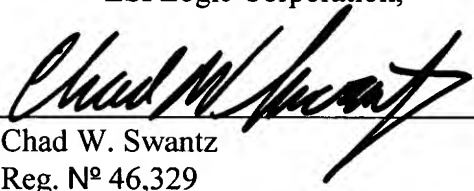
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DATED: March 25, 2004.

Respectfully submitted,  
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In Page of FORM PTO-1449 (Modified)

**STATEMENT OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE  
STATEMENT**

Serial No.: 10/771,588  
Applicant: Karl Lu  
Filing Date: February 4, 2004  
Group:  
Atty. Docket No.: 03-2373

Reference Designation

**U.S. PATENT DOCUMENTS**

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
AAA	5,968,141	10/19/99	Tsai	710	14	08/04/97
ABA						
ACA						
ADA						
AEA						
AFA						
AGA						
AHA						
AIA						
AJA						

**FOREIGN PATENT DOCUMENTS**

Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation Yes No
AKA						
AEA						
AMA						
ANA						
AOA						

**OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)**

Examiner  
Initial

\_\_\_\_ APA  
\_\_\_\_ AQA  
\_\_\_\_ ARA

Examiner:

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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.